

01/09/02

FORM PTO-1390  
(REV. 9-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

2039.003

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/030652

INTERNATIONAL APPLICATION NO.  
PCT/GB00/01843

INTERNATIONAL FILING DATE  
15 May 2000 (15.05.00)

PRIORITY DATE CLAIMED  
14 May 1999 (14.05.99)

TITLE OF INVENTION

SELF-ASSEMBLING BIOMOLECULAR STRUCTURES

APPLICANT(S) FOR DO/EO/US

Per-Ake Nygren and Mathias Uhlen

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unexecuted)
10. ☐ An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Substitute specification, claims and abstract (31 pages) and Version with Markings to Show Changes Made  
  
International Search Report  
International Preliminary Examination Report

U.S. APPLICATION NO. (if known) <b>10/030652</b> 37 CFR 1.53				INTERNATIONAL APPLICATION NO. PCT/GB00/01843		ATTORNEY'S DOCKET NUMBER 2039.003	
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1040.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>						<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$ 890.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	24 - 20 =	4	x \$18.00			\$ 72.00	
Independent claims	5 - 3 =	2	x \$84.00			\$ 168.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00		\$ --	
<b>TOTAL OF ABOVE CALCULATIONS =</b>						\$ 1130.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+		\$ 565.00	
<b>SUBTOTAL =</b>						\$ 565.00	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$ --	
<b>TOTAL NATIONAL FEE =</b>						\$ 565.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$	
<b>TOTAL FEES ENCLOSED =</b>						\$ 565.00	
						Amount to be refunded:	\$
						charged:	\$

- a. ☒ A check in the amount of \$ 565.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 14-1080. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

James E. Nilles  
 Nilles & Nilles SC  
 Firststar Center, Suite 2000  
 777 East Wisconsin Avenue  
 Milwaukee, WI 53202  
 Telephone: 414-276-0977  
 Facsimile: 414-276-0982

SIGNATURE

Lisa M. Gehrke

NAME

38,888

REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL CERTIFICATE OF MAILING

National Phase Patent Application Filed Under 35 U.S.C. 371

International Application No. PCT/GB00/01843

Inventors: Per Ake Nygren and Mathias Uhlen

Title: *Self-Assembling Biomolecular Structures*

Attorney Docket: 2039.003

Customer No. 023907

Express Mail Label No. EL844879103US

Date of Deposit: November 9, 2001

I hereby certify that the following attached documents are being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Box Patent Application DO/EO/US, Washington, D.C. 20231.

Transmittal letter dated November 9, 2001

PCT application as filed: specification and claims (30 pages) and drawings (7 sheets).

Preliminary Amendment, substitute specification, claims, abstract (31 pages) and Version with Markings to Show Changes Made

Declaration (2-page, unexecuted)

International Search Reports

International Preliminary Examination Report

\$565 check

Postcard

  
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Diane Schwaiger